

(3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant : Stijn Van Even et al.

Art Unit : 2641

Serial No. : 09/696,685

Examiner : Unknown

Filed : October 26, 2000

Title : SPEECH RECOGNITION USING WORD-IN-PHRASE COMMAND

BOX MISSING PARTSCommissioner for Patents
Washington, D.C. 20231RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed January 3, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- Payment of the basic filing fee of \$710;
- Payment of the additional/multiple dependent claims fees of \$288;
- Check in payment of \$130 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- A Verified Statement Claiming Small Entity Status;
- Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- A verified English translation of the application and payment of the \$0 fee required under 37 CFR §1.17(k); and
- Other: Assignment w/Recordation Cover Sheet and \$40.00 Fee; Extension of Time for 2 Months with \$390.00 Fee; Information Disclosure Statement w/3 cited references.

Applicant : Stijn Van Even et al.
Serial No. : 09/696,685
Filed : October 26, 2000
Page : 2

Attorney's Docket No.: 06998-081001

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: April 30, 2001

Diana DiBerardino

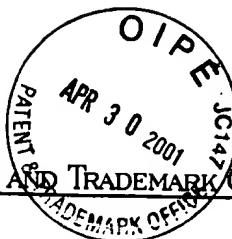
Diana DiBerardino
Reg. No. 45,653

Fish & Richardson P.C.
601 Thirteenth Street, NW
Washington, DC 20005
Telephone: (202) 783-5070
Facsimile: (202) 783-2331

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Page 1 of 2

3 JTH D21
#3
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/696,685	10/26/2000	Stijn Van Even	06698-081001 06698108100

John F Hayden
Fish & Richardson PC
601 Thirteenth Street N W
Washington, DC 20005

FORMALITIES LETTER



OC000000005651155

Date Mailed: 01/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/01/2001 SMINASS1 00000026 09696685

01 FC:101 710.00 OP
02 FC:103 288.00 OP
03 FC:105 130.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$288.
 - \$288 for 16 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 1128.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

Docketed By Billing Secretary	PART 1 - ATTORNEY/APPLICANT COPY
Due Date: 3/3/01	
Deadline: 7/3/01	
Initials:	

File:///C:/APPSPreExam/correspondence\2_A.xml

Docketed By Practice Systems
Action Code: <u>MISSING PARTS</u>
Base Date: <u>1-3-01</u>
Due Date: <u>3-3-01</u>
Deadline: <u>7-3-01</u>
Initials: <u>JF</u>
Record:

1/3/01



Attorney's Docket No.: 06998-081001

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Art Unit : 2641

Serial No. : 09/696,685

Examiner : Unknown

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Title : SPEECH RECOGNITION USING WORD-IN-PHRASE COMMAND

Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application to include the residence addresses for the inventors, *i.e.*,

Stijn Van Even, Jamaica Plain, MA;
Li Li, Norwood, MA;
Xianju Du, Waltham, MA;
Puming Zhan, Acton, MA.

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: April 30, 2001

Diana DiBerardino

Diana DiBerardino

Reg. No. 45,653

Fish & Richardson P.C.
601 Thirteenth Street, NW
Washington, DC 20005
Telephone: (202) 783-5070
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JFH
D2D

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/696,685	10/26/2000	2641	0	06698-081001	27	36	3

John F Hayden
Fish & Richardson PC
601 Thirteenth Street N W
Washington, DC 20005

FILING RECEIPT



OC000000005651154

Date Mailed: 01/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Stijn Van Even, Residence Not Provided;
Li Li Xianju Du, Residence Not Provided;
Puming Zhan, Residence Not Provided;
Xianju Du, Residence Not Provided;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/03/2001

Title

Speech recognition using word-in-phrase command

Preliminary Class

704

* No Docketing Required *
Reviewed By Proxsys Systems
Initials:
Reviewed By Billing Secretary
Initials:

Data entry by : SCOTT, JOSEPH

Team : OIPE

Date: 01/03/2001

Done



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

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